OLD MUTUAL CORPORATE

OLD MUTUAL SUPERFUND CUSTOMISED INVESTMENT CHANGE FORM

Please complete this form if you are an existing member, using CAPITAL/BLOCK LETTERS in blue or black ink.

Fax the completed and signed form to Old Mutual on 0860 38 38 48
or email to superfund@oldmutual.com

Tick blocks where applicable.

☐ **Investment Portfolio Switch** (i.e. transferring from one Investment Portfolio to another, within the same Package Option) **(complete Section A)**
This will affect where your Accumulated Credit will be invested.

AND/OR

☐ **Future Contribution Election Change (complete Section B)**
This will affect where your **Future Contributions** will be invested.

EMPLOYER SCHEME DETAILS

Employer/
Company name

Member number

MEMBER PERSONAL DETAILS

Surname

Full names

Identity number

Telephone number Code Number

Fax number Code Number

Cellphone number*

Email address*

* A cellphone number OR email address is compulsory information to be provided in order to confirm your switch instruction. If you provide a cell number we will provide confirmation of the switch via SMS.

SECTION A INVESTMENT PORTFOLIO CHANGE

Future Investment Portfolio (see Section D for the list of Investment Portfolio options)	**Allocate member account**
	100%

Absolute Growth Portfolios	✓
Book Value Switch required	
Market Value Switch required	

If you are invested in any of the Absolute Growth Investment Portfolios, please indicate whether you would like to switch at Book Value or Market Value. Refer to Notes below.

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SECTION B FUTURE CONTRIBUTION ELECTION

Future Investment Portfolio (see Section D for the list of Investment Portfolio options)	**Allocate future contributions**
	100%

Notes:

1) The Fund encourages members to obtain **financial advice** to assist them with their investment decisions.
2) If you would like to be contacted by an accredited Old Mutual financial adviser, please call 0860 38 88 73.
3) Please see Section D for the list of the Investment Portfolios selected by the Management Committee or Investment Adviser associated with your scheme for your Participating Employer.
4) You will receive a letter confirming the investment change from the administrator confirming that your change was implemented within **5 working days** from the processing date. If you do not receive a certificate or you have any queries, please contact Old Mutual SuperFund Call Centre on 0860 20 30 40 or email superfund@oldmutual.com.
5) Please obtain the investment change conditions from your Management Committee or Investment Adviser.

Old Mutual Absolute Growth Portfolios Switches

Members are allowed to make Book Value or Market Value Switches out of the Old Mutual Absolute Growth Portfolios.

Book Value Switches:

- Allows you to switch from the Absolute Growth Portfolios at the **investment account value** twice a year on 30 September and 31 March.
- A minimum of 3 months' notice for these switches is required, i.e. a completed switch form must reach Old Mutual by 30 June and 31 December.
- There is an annual limit to this Book Value Switch facility to protect investors in these portfolios in adverse market conditions when the Bonus Smoothing Reserve is negative.
- If you want to switch on any date other than the two dates specified above, a Market Value Switch can be processed.

Market Value Switches:

- Allows you to switch from the Absolute Growth Portfolios on a monthly basis at the **investment account value less a market value adjuster when applicable.**
- Old Mutual must receive this instruction by close of business on the 20th of each month. Any instruction received after this date will only be processed in the following month.
- The purpose of the Market Value Adjuster (MVA) is to protect the policyholders remaining in the fund.
- An MVA is applied when the market value of the assets are less than the investment account value.
- The MVA is expressed as a percentage (%) of the investment account, so the amount switched is reduced by Investment Account Amount x MVA%.
- Old Mutual retains ultimate discretion on the level of an MVA.
- Your estimated switch vallue can be obtained by calling the Old Mutual Member Servicing Centre on 0860 203 040.

Example A: MVA = 0%; Investment Account = R1 000
Members wants to switch all his money to another fund. Investment Account reduces by 0% and R1 000 is switched.

Example B: MVA = 10%; Investment Account = R1 000
Members wants to switch all his money to another fund. Investment Account reduces by 10% and only R900 is switched.

Switches:

- You can make investment changes on a monthly basis.
- The Fund Administrator must receive this instruction as per the approved Investment Strategy.

SECTION C DECLARATION

- I understand the risks associated with choosing my own Investment Portfolios.
- I understand that the investment risk is borne by me and that the Old Mutual SuperFund Pension/Provident Fund, the Trustees and the Administrator of the Old Mutual SuperFund Pension/Provident Fund shall not be liable for any losses incurred by me as a result of the choices that I make.
- I choose to invest my Member Account and Future Contributions as indicated early in this form.
- I understand that the onus is on me to ensure that the investment change instruction is received by Old Mutual. Therefore, if Old Mutual does not acknowledge receipt of my instruction within five working days, then the investment change may not have taken place.
- I am aware that no administrative fee will be applied.
- I understand that should this form be incomplete or inaccurately completed, the investment change instruction may not be actioned by Old Mutual. Old Mutual and the Fund will not be liable for any losses should an incorrect or zero transaction take place and I do not notify the Fund or Old Mutual within one month from the date of the switch.
- I declare that I understand the risk profile of the investment portfolio(s) of my choice and that I have obtained advice where appropriate.
- I declare that I have obtained advice where appropriate and where I have not obtained advice, that I understand the implication and consequences of my choice.
- I indemnify the Fund, the Trustees, the Principal Officer of the Fund, and Old Mutual against any claims whatsoever arising from my investment portfolio choice.
- I understand that neither the Fund nor Old Mutual will be held liable for any loss incurred due to incorrect or incomplete information supplied by me.

Tick the applicable box below.

☐ **I am aware that financial advice is available from an accredited Old Mutual financial adviser, but I have elected not to make use of this service in making my decision.**

☐ **I received financial advice from a licensed financial adviser before making this decision.**

Member's name

Member's surname

Member's signature

Date D D M M Y Y Y Y

SECTION D CURRENT INVESTMENT PORTFOLIOS

Old Mutual Employee Group in SuperFund (OMEGS) offers you the opportunity to choose your investment(s) from the following range of 9 carefully selected investment portfolios.

Category	Portfolio Options	Expected Long Term Return (over rolling 5-year periods and longer)
Default	Old Mutual Absolute Smooth Growth	Inflation + 4% to 6%
Market-Linked	OMIG Profile Edge28	Inflation + 4% to 7%
	Coronation Managed	Inflation + 4% to 7%
	OMMM Inflation Plus 5% to 7%	Inflation + 4% to 7%
	Prudential Global Balanced	Inflation + 4% to 6%
	Old Mutual Balanced Index Fund	Inflation + 4% to 6%
	Old Mutual Absolute Smooth Growth	Inflation + 4% to 6%
	Old Mutual Absolute Coregrowth 100	Inflation + 1% to 3%
Shari'ah	OMIG Albaraka Composite Fund	Inflation + 4% to 6%
Money Market	Old Mutual SA Money Market Pooled Portfolio	Inflation - 1% to Inflation + 1%

Need Help:

- For Investment Choice and Fund-related queries, contact the Member Service Centre on **0860 20 30 40**.
- If you would like to be contacted by an accredited Old Mutual financial adviser, call **0860 38 88 73** directly (+27 21-504 3020 if you are outside South Africa) or email Member Support Services.

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Old Mutual is a Licensed Financial Services Provider